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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/683,233

12/04/2001

Helmut Ponn

47874.267151

**CONFIRMATION NO. 8299** 

FORMALITIES LETTER

\*OC000000007213553\*

28694 TRACY W. DRUCE KILPATRICK STOCKTON LLP 11130 SUNRISE VALLEY DRIVE SUITE 300 RESTON, VA 20191-4329

Date Mailed: 12/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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OFFICE OF PETITIONS

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Signature

.PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

Parent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Ratent and Trademark Office. U.S. OLI All Management of the property of the acceleration of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons Application Number 09/683233 TRANSMITTAL 12/04/2001 **Filing Date** [] 1 S 2002 **FORM** First Named Inventor Stig HERMANSSON correspondence after initial filing) 3627 (to be used for Group Art Unit Unassigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 47874.267151 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Appeal Communication to Board of Drawing(s) Fee Attached Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition UNDER 37 CFR Proprietary Information After Final Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Declaration of Bo Willquist; Terminal Disclaimer Translation of Priority Express Abandonment Request Document; Declaration of Request for Refund Christina Brenne; Certificate of Information Disclosure Statement Service; and Postcard. CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm KILPATRICK STOCKTON LLP David P. LeCroy Individual name Signature 02/19/2002 Date CERMICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

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	Complete if Known					
FEE TRANSMITTAL	Application Number	09/683,233	RECEIVED			
O TP E Jogs for FY 2002	Filing Date	12/04/2001	FFR 2 0.2002			
FFR 1 9 2002 벌	First Named Inventor	HERMANSSON				
FEB 1 9 ZUUZ SPETENT fees are subject to annual revision.	Examiner Name	Unassigned	OFFICE OF PETITIONS			
	Group / Art Unit	3627				
TOTAL AMOUNT OF PAYMENT (\$) 1040	Attorney Docket No.	47874.267151				

METHOD OF PAYMENT (check all that apply)									FEE CALCULATION (continued)			
						3. ADDITIONAL FEES						
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order					1	Large Entity		Small Entity				
Deposit Account:					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
Deposi	it					7	105	130	205	65	Surcharge - late filing fee or oath	130
Account 50-1928 Number				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
					139	130	139	130	Non-English specification			
Deposit Account KILPATRICK STOCKTON LLP				147	2,520	147-	2,520	For filing a request for reexamination				
Account KILPATRICK STOCKTON LLP Name					112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
The Commissioner is authorized to: (check all that apply)  ☐ Charge fee(s) indicated below ☑ Credit any overpayments						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
					ncy of this applicat	on	115	110	215	55	Extension for reply within first month	
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					116	400	216	200	Extension for reply within second month			
			FEE C	ALCULATION			117	920	217	460	Extension for reply within third month	
BASIC FILING FEE Large Entity Small Entity					118	1,440	218	720	Extension for reply within fourth month			
_	•	Fee	Fee	Fee Description	1		128	1,960	228	980	Extension for reply within fifth month	
		Code	(\$)		Fee Paid	i	119	320	219	160	Notice of Appeal	
101 7-	40	201	370	Utility filing fee	740		120	320	220	160	Filing a brief in support of an appeal	
106 3	30	206	165	Design filing fee			121	280	221	140	Request for oral hearing	
	-	207 208	255 370	Plant filing fee Reissue filing fee	, -		138	1,510	138	1,510	Petition to institute a public use proceeding	
		214	80	Provisional filling		-	140	110	240	55	Petition to revive – unavoidable	
	•						141	1,280	241	640	Petition to revive – unintentional	
		SI	JBTOTA	L (1)	(\$) 740		142	1,280	242	640	Utility issue fee (or reissue)	
O EVERA	C1 A11	4 5556					143	460	243	230	Design issue fee	
2. EXTRA	CLAII	4 LEE2		Extra F	ee from Fee		144	620	244	310	Plant issue fee	
					elow Paid		122	130	122	130	Petitions to the Commissioner	130
Total Claims		-20	) <b>**</b> =	0 X	= 0		123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims		-3	=	0 X	= 0		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent				×[	= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Entity Fee	Small Fee	Entit Fee	y Fee Descript	·		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	(\$) 18	Code 203	( <b>\$</b> ) 9	Claims in exc			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102	84	202	42		daims in excess of 3							<del></del>
104	280	204	140	Multiple depe	ndent claim, if not pa	id	179	740	279	370	Request for Continued Examination (RCE)	
109	84	209	42	** Reissue ind original paten	lependent claims over	er	169 900 169 900 Request for expedited examination of a design application					
110	18	210	9	** Reissue da over original p	nims in excess of 20 patent	and						
			s	UBTOTAL (2)	(\$)0		Other fe	e (specify	/)	•		
**or number previously paid, if greater; For Reissues, see above					*Reduc	ed by Ba	sic Filinq	, Fee Pa	sid SUBTOTAL (3) (\$) 300			
5. 110/110	. J. p.C	,	, ·· g		,						<del></del>	

SUBMITTED BY			 Con	mplete (if applicable)
Name (Print/Type)		Registration No. Attorney/Agent)	Telephone	
Signature	1000	(nos)	 Date	19 Februar 2002
		1	 	

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